

SRIC - Presto Mon Counties

JUL 7 '26 AM 8:09
REC'D BUDGET - PROCURE

Procurement Division
OF Highways

Bldg 6 Rm 340A

Charleston WV 25305

Technical 1900 Kanawha Blvd E

DESIGNATED CONTACT: Vendor appoints the individual identified in this Section as the Contract Administrator and the initial point of contact for matters relating to this Contract.

Karin Watson
(Printed Name and Title)
301 Grant St
(Address)
Pittsburgh Pa 15219
(Phone Number) / (Fax Number)
Karin.watson@velocityservices.com
(E-mail address)

CERTIFICATION AND SIGNATURE: By signing below, or submitting documentation through wvOASIS, I certify that I have reviewed this Solicitation in its entirety; that I understand the requirements, terms and conditions, and other information contained herein; that this bid, offer or proposal constitutes an offer to the State that cannot be unilaterally withdrawn; that the product or service proposed meets the mandatory requirements contained in the Solicitation for that product or service, unless otherwise stated herein; that the Vendor accepts the terms and conditions contained in the Solicitation, unless otherwise stated herein; that I am submitting this bid, offer or proposal for review and consideration; ; that this bid or offer was made without prior understanding, agreement, or connection with any entity submitting a bid or offer for the same material, supplies, equipment or services; that this bid or offer is in all respects fair and without collusion or fraud; that this Contract is accepted or entered into without any prior understanding, agreement, or connection to any other entity that could be considered a violation of law; that I am authorized by the Vendor to execute and submit this bid, offer, or proposal, or any documents related thereto on Vendor's behalf; that I am authorized to bind the vendor in a contractual relationship; and that to the best of my knowledge, the Vendor has properly registered with any State agency that may require registration.

By signing below, I further certify that I understand this Contract is subject to the provisions of West Virginia Code § 5A-3-62, which automatically voids certain contract clauses that violate State law.

Velocity Services
(Company)
Karin Watson
(Signature of Authorized Representative)
Karin Watson
(Printed Name and Title of Authorized Representative)
7/2/26
(Date)

724-350-4000
(Phone Number) (Fax Number)

REQUEST FOR PROPOSAL

West Virginia Department of Transportation

SRIC Services - Preston & Monongalia Counties

Step 1: Lowest Cost of All Proposals / Cost of Proposal Being Evaluated = Cost Score Percentage

Step 2: Cost Score Percentage X Points Allocated to Cost Proposal = **Total Cost Score**

Example:

Proposal 1 Cost is \$1,000,000

Proposal 2 Cost is \$1,100,000

Points Allocated to Cost Proposal is 30

Proposal 1: Step 1 – \$1,000,000 / \$1,000,000 = Cost Score Percentage of 1 (100%)
 Step 2 – 1 X 30 = Total Cost Score of 30

Proposal 2: Step 1 – \$1,000,000 / \$1,100,000 = Cost Score Percentage of 0.909091 (90.9091%)
 Step 2 – 0.909091 X 30 = Total Cost Score of 27.27273

6.8. Availability of Information: Proposal submissions become public and are available for review immediately after opening. All other information associated with the RFP, including but not limited to, technical scores and reasons for disqualification, will not be available until after the contract has been awarded.

By signing below, I certify that I have reviewed this Request for Proposal in its entirety; understand the requirements, terms and conditions, and other information contained herein; that I am submitting this proposal for review and consideration; that I am authorized by the bidder to execute this bid or any documents related thereto on bidder's behalf; that I am authorized to bind the bidder in a contractual relationship; and that, to the best of my knowledge, the bidder has properly registered with any State agency that may require registration.

Velociti Services
(Company)
Karin Watson
(Representative Name, Title)
724-350-4600
(Contact Phone/Fax Number)
7/2/20
(Date)

REQUEST FOR PROPOSAL

West Virginia Department of Transportation RFP DOT26*1
SRIC Services - Preston and Monongalia Counties

ATTACHMENT B - RFP TECHNICAL RESPONSE FORM

IMPORTANT NOTE:

This Technical Proposal response cannot include any bid prices; doing so will disqualify the entire proposal. Submit Technical Response and supporting documentation in a sealed envelope by itself. Submit the Bid Prices (Attachment A) in a separate, sealed envelope, by itself.

**FAILURE TO SUBMIT BID SEPARATELY WILL RESULT IN THE
DISQUALIFICATION OF THE ENTIRE BID.**

Vendor Name: Velociti Services

Vendor Instructions: Vendors may submit a Proposal Response Package as their response, ensuring that the entire contents of the questions on this form are responded to, responding with the details of each SRIVC area bid separately, or Vendor may complete this form instead of providing a comprehensive proposal package. Comprehensive proposal package should cross reference the section of the RFP that corresponds with each section of their Proposal Response.

This form was designed to be completed electronically to allow vendors the space needed to provide comprehensive responses. To obtain a Microsoft Word format version of this form, please email your request to DOTProcurementTechQues@wv.gov.

1. Describe your company's approach and methodology to providing the SRIC services described in sections 4.2 and how your company will establish compliance with the mandatory requirements of this contract. Response should include any information about how the proposed approach is superior or inferior to other possible approaches. Reference Section 4.2, all subsections.

Vendors Response: Velociti Services will deliver SRIC services using a proactive, data-driven, and safety-first operational approach designed to maintain safe and passable road conditions at all times.

Our methodology begins with continuous monitoring of weather forecasts, pavement temperatures, and storm progression using reliable meteorological sources. Supervisors actively track conditions before, during, and after each winter event to ensure timely mobilization and response.

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Pre-treatment (anti-icing) strategies will be utilized when applicable to prevent bond formation, followed by timely plowing operations to maintain lane accessibility. Crews are dispatched in advance of storm impact and remain on rotation to ensure continuous coverage throughout the event.

Our approach emphasizes:

- Rapid response time and route prioritization
- Efficient salt and material usage to maximize effectiveness while controlling cost
- Compliance with all WVDOH specifications and application requirements
- Continuous communication between supervisors and operators

Velociti Services maintains a contingency and redundancy plan to ensure uninterrupted operations. Backup equipment and personnel are available to respond to mechanical failures, severe weather escalation, or staffing shortages. This ensures service continuity under all conditions.

In addition, a supervisor will be on-call 24/7 during all winter events to provide real-time decision-making, coordination with WVDOH, and rapid issue resolution.

2. Clearly identify which stockpile location you intend to use for which SRIC Area you are bidding, and the proximity of each stockpile location to the SRIC Areas they are intended to service. Only respond to the SRIC Area's you are bidding. Reference 4.2.1.2 and 4.2.2.1;
Page 7-8

Vendors Response: Velociti Services will utilize strategically located stockpile sites within close proximity (5–15 miles) to each SRIC area to minimize transport time and ensure rapid deployment of materials. Final locations are being secured and will be fully operational prior to contract commencement.

**Proximity to SRIC Area
(description & miles)**

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Stockpile Location / Address

SRIC Area 1 - Monongalia Co	<u>Sunset Outdoors Supply</u> <u>273 Point Marion Rd</u> <u>Morgantown, WV</u>	<u>5-15miles</u>
SRIC Area 2 - Monongalia Co	<u>Sunset Outdoor Supply</u> <u>273 Point Marion Rd</u> <u>Morgantown</u>	<u>5-15miles</u>
SRIC Area 3 - Monongalia Co	<u>Sunset Outdoor Supply</u> <u>273 Point Marion Rd</u> <u>Morgantown</u>	<u>5-15miles</u>
SRIC Area 4 - Preston Co	<u>ABC Salt Supply</u> <u>1234 Supplier Rd</u> <u>Morgantown</u>	<u>12miles</u>
SRIC Area 5 - Preston Co	<u>ABC Salt Supply</u> <u>1234 Supplier Rd</u> <u>Morgantown</u>	<u>12miles</u>
SRIC Area 6 - Preston Co	<u>ABC Salt Supply</u> <u>1234 Supplier Rd</u> <u>Morgantown</u>	<u>12miles</u>

3. Are the stockpile locations properties that your company already owns, rents or leases?
Reference Sections 4.2.1.2 and 4.2.2.1; Pages 7-8.

Vendors Response: Velociti Services will utilize contracted third-party stockpile locations. Formal agreements will be secured prior to contract start to ensure uninterrupted material access and compliance with WVDOH requirements.

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ATTACHMENT B - RFP TECHNICAL RESPONSE FORM

4. What permits do you currently hold for the stockpile locations you have identified?
Reference Sections 4.2.1.2, 4.2.2.5A; Pages 7 & 12.
- Vendors Response: Required permits will be maintained by the stockpile owner/operator. Velociti Services will verify compliance of all facilities with WVDOH requirements prior to use.
5. Which approved aggregate sources will you obtain Abrasives from for your stockpile?
Reference Sections 4.2.1.3 and 4.2.2.1, 3; Pages 7-9.
- Vendors Response: Abrasives will be sourced from WVDOH-approved aggregate suppliers. All materials will meet required specifications for size, composition, and performance.
6. Which approved sources will you obtain Sodium Chloride from for your stockpile?
Reference Sections 4.2.1.3 and 4.2.2.1, 1; Page 7-8.
- Vendors Response: Sodium Chloride will be procured from WVDOH-approved suppliers within the region. Supply contracts will be established to ensure availability and pricing stability.
7. Describe your plan for keeping Sodium Chloride well stocked during times of peak use, such as during back-to-back winter storms. References Sections 4.2.1.2, 4.2.2.1; Page 7-8.
- Vendors Response: Velociti Services will maintain adequate inventory levels to support continuous operations during consecutive storm events. Our strategy includes:
- Maintaining minimum on-hand inventory levels at all times
 - Pre-storm stock replenishment based on forecast severity
 - Establishing relationships with multiple suppliers to mitigate shortages
 - Scheduled deliveries during extended storm periods
- This ensures uninterrupted service even during high-demand or back-to-back storm conditions.

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8. Vendors must have enough trucks to service each SRIC Area they bid independently. In the *Truck Description & Blade Size* column, describe your fleet of vehicles to be used per SRIC Area, and identify your back-up trucks. If bidding multiple trucks per SRIC Area to perform services within that area simultaneously, please provide where you plan to have each truck dispatched within the SRIC Area in the *Dispatched Where Within SRIC Area* column.
- Reference Sections 4.2.1.4, 4.2.2.1, 4.2.2.2, Pages 7, 9-10.

Vendors Response: Velociti Services will assign dedicated trucks to each SRIC area to ensure independent servicing capability as required. Each area will have primary and backup vehicles to maintain operational continuity in the event of equipment failure.

Dispatch locations will be strategically positioned within each SRIC area to minimize response times and maximize route efficiency.

SRIC Area Vehicle Assigned	Truck Description & Blade Size, per SRIC Area	Dispatched Where Within SRIC Area
SRIC Area 1 - Monongalia Co	<u>FORD F550</u> <u>11' reversible plow</u> <u>7-ton Spreader</u>	<u>Assigned-Mon County</u> <u>Plus Back-Up</u>
SRIC Area 2 - Monongalia Co	<u>FORD F250</u> <u>9'6 V plow</u> <u>7-ton Spreader</u>	<u>Assigned-Mon County</u> <u>Plus Back-Up</u>
SRIC Area 3 - Monongalia Co	<u>FORD F550</u> <u>11' reversible plow</u> <u>7-ton Spreader</u>	<u>Assigned-Mon County</u> <u>Plus Back-Up</u>
SRIC Area 4 - Preston Co	<u>FORD F550</u> <u>11' reversible plow</u> <u>7-ton Spreader</u>	<u>Assigned-Preston County</u> <u>Plus Back-Up</u>
SRIC Area 5 - Preston Co	<u>RAM 2500</u> <u>9'6 V plow</u> <u>7-ton Spreader</u>	<u>Assigned-Preston County</u> <u>Plus Back-Up</u>

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**SRIC Area 6 -
Preston Co**

FORD F550
11' reversible plow
7-ton Spreader

Assigned-Preston County
Plus Back-Up

9. Describe what other equipment you have that will be used for SRIC Services not already discussed, such as snow blowers or loading equipment. Reference Section 4.2.2.2 and 4.2.2.4; Pages 9-12.
- Vendors Response: Additional equipment includes backpack blowers, loading equipment, and support vehicles to assist with material handling, cleanup, and supplemental operations as needed.
10. Describe vehicle monitoring that goes beyond our minimum requirements. Reference 4.2.2.2; Page 10.
- Vendors Response: All fleet vehicles will be monitored using GPS tracking systems to provide real-time visibility of routes, performance, and response times. Supervisors will review activity logs to ensure compliance, optimize efficiency, and address any service gaps immediately.
- Quality control measures include supervisor inspections during and after each storm event to verify that all routes meet WVDOH service standards. Any deficiencies will be corrected immediately to maintain compliance and service quality.
11. Vendor must have a minimum of two prior projects that are of similar scope of work within this geographical are of the state. Provide a comprehensive overview of each project and include supporting documentation from those projects clearly defined with your technical response. Reference Section 4.3 and 4.3.1; Page 14.

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ATTACHMENT B - RFP TECHNICAL RESPONSE FORM

Vendor Response Project #1:

Snow removal and ice control services for commercial and residential clients within West Virginia. Included plowing, salting, and storm response operations.

Vendor Response Project #2:

Regional snow response services supporting multiple routes and clients, including material application and continuous monitoring of weather conditions.

Velociti Services has successfully delivered reliable, timely services under winter conditions, demonstrating capability to manage large service areas and respond to variable weather scenarios.

Vendors Response, Project #3:

Multi-Route Commercial Snow Operations

Provided snow removal and ice control services for multiple commercial properties across the region during winter storm events. Scope included plowing parking areas, access roads, and applying de-icing materials to maintain safe conditions.

Operations required coordination of equipment and personnel across multiple locations during active storm conditions, ensuring timely completion and consistent service quality.

Vendors Response, Project #4:

Delivered emergency snow response services during severe winter weather conditions, including rapid deployment of equipment and personnel to restore access to critical routes.

Responsibilities included snow removal, material application, and monitoring changing weather conditions to adjust response efforts in real time. This project demonstrated the ability to operate effectively under high-pressure and time-sensitive conditions.

Vendors Response, Project #4

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SRIC Services - Preston and Monongalia Counties

ATTACHMENT B - RFP TECHNICAL RESPONSE FORM

Managed assigned snow removal routes requiring continuous monitoring and servicing during winter events. Services included plowing roadways, treating surfaces with de-icing materials, and maintaining passable conditions throughout the duration of each storm.

This work required strict adherence to schedules, efficient routing, and consistent communication to ensure reliable service delivery.

These projects demonstrate Velociti Services' ability to manage multiple routes, coordinate personnel and equipment, and deliver reliable snow and ice control services under varying conditions. Our experience reflects our readiness to successfully perform services at the scale required by WVDOH.

12. Vehicle Operators must have a minimum of two (2) years of experience with the type of vehicle they will be operating. Provide the name, Equipment they will be operating, and describe their experience. Provide additional documentation as described in Section 4.3.2. with your Technical Response. Reference Sections 4.2.2.3, 4.3, and 4.3.2- 1&2; Pages 10, 14-15.

Vendors Response: All operators have a minimum of two years of experience operating snow removal equipment including plow trucks and material spreaders. Operators are trained in safe driving practices, route efficiency, and proper material application.

Employee 1 – Larry Pridemore/ FORD F550

Experience: Class A CDL-30 years' experience working heavy equipment and road service

Employee 2 – Josh Stacy/FORD F250

Experience: WV State Driver's License-18 years' experience operating heavy equipment for railroads and road services

Employee 3 – Courtney Lovich/FORD F550

Experience: WV State Drivers License-20 years in, heavy driving equipment and plow services.

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Employee 4 – Larry ScarberryRAM2500

Experience: Class A CDL-20 years' experience in excavation work, operating heavy equipment

Employee 5 – Kaden Pridemore/FORD F550

Experience: WV State Driver's License 4 years' experience in excavation work and heavy equipment

Employee 6 – Hayden Hurst/FORD F550

Experience: WV State Driver's License-5 years in excavation work and heavy equipment

Employee 7 -Hiring prior to season/Back-Up *** CDL DRIVER*******

Employee 8 - Hiring prior to season/Back-Up *** CDL DRIVER*******
Experience:

Employee 9 – Hiring prior to season/Back-Up
Experience:

Employee 10 – Hiring prior to season/Back-Up
Experience:

**List additional employees as needed*

13. Vendor's personnel must be licensed for the class of vehicle they will operate. Provide copies of their licensures and certifications with your technical response. Reference Section 4.3.2, 4.3.2.1 and 4.3.2.2; Page 15. PLEASE SEE ATTACHED

Vendors Response: Copies of all required licenses and certifications for operators are included. All personnel meet or exceed required licensing standards for the equipment they operate.

14. Describe how you will ensure compliance with federal hours-of-service regulations for applicable personnel during 24/7 SRIC Operations. Reference Section 4.2.2.3; Page 10

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Vendors Response: Velociti Services will utilize 12-hour rotating shifts to ensure 24/7 coverage while maintaining compliance with federal hours-of-service regulations. Supervisors will monitor schedules to prevent fatigue and ensure safe operation.

15. Describe how you will train your personnel for delivering SRIC Services and Sodium Chloride application rates. Reference Sections 4.2.1.1 and 4.2.2.3; Pages 8 & 10.

Vendors Response: Personnel training includes equipment operation, route execution, material application rates, and safety procedures.

Pre-storm meetings and job briefings will be conducted to review assignments, weather conditions, and expectations. Ongoing training ensures personnel remain aligned with WVDOH requirements and industry best practices.

Material application will be performed in accordance with best practices to minimize environmental impact while maintaining roadway safety.

16. How will you monitor for and mitigate damages and accidents? How do you propose reporting damage and accidents to WVDOH? Reference Sections 4.2.2.3 and 4.2.2.5 Pages 10, 12-14.

Vendors Response: Velociti Services follows a proactive safety and risk management program that includes pre-shift inspections, operator safety training, and clearly defined operating procedures.

In the event of damage or an accident:

- The incident will be immediately secured and documented
- WVDOH will be notified promptly
- A detailed incident report will be submitted
- Corrective action will be implemented

This structured approach ensures accountability, transparency, and continuous improvement.

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Velociti Services will maintain consistent communication with WVDOH through designated points of contact. Updates will be provided before, during, and after storm events as required. Field supervisors will communicate directly with operators to monitor conditions and adjust operations as needed. Any incidents, delays, or issues will be reported immediately to WVDOH representatives.

17. Describe your current drug testing program requirements and provide documentation*.
Explain how you will ensure compliance with DOT regulation CFR Title 49, part 40.
Reference 4.2.1.5 & 4.2.2.3; Pages 7, 10-11.

*NOTE: *Vendors must observe HIPPA requirements if actual drug test results are provided as proof of drug testing program. All information submitted in response to this RFP is public.*

Vendors Response: Velociti Services maintains a drug testing program that includes pre-employment, random, and post-incident testing in compliance with CFR Title 49 Part 40. Testing is conducted regularly, with a minimum random testing cycle of every 30 days.

Velociti Services is committed to providing reliable, safe, and efficient snow and ice control services in full compliance with WVDOH requirements. Our combination of experienced personnel, properly maintained equipment, and structured operational planning ensures consistent performance and high-quality service delivery.

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ATTACHMENT B - RFP TECHNICAL RESPONSE FORM

IMPORTANT NOTE:

This Technical Proposal response cannot include any bid prices; doing so will disqualify the entire proposal. Submit Technical Response and supporting documentation in a sealed envelope by itself. Submit the Bid Prices (Attachment A) in a separate, sealed envelope, by itself.

**FAILURE TO SUBMIT BID SEPARATELY WILL RESULT IN THE
DISQUALIFICATION OF THE ENTIRE BID.**



12/1/2026

11/25/2025

CERTIFICATE OF LIABILITY INSURANCE

IMPORTANT: If the certificate holder is an **ADDITIONAL INSURED**, the policy(ies) must have **ADDITIONAL INSURED** provisions or be endorsed. If **SUBROGATION IS WAIVED**, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

(404) 460-3600

Atlanta, GA 30

REVISION NUMBER: XXXXXXXXX

INSR LTR	TYPE OF INSURANCE		ADDL SUBR INSD WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	☒	COMMERCIAL GENERAL LIABILITY	N	N	GLO6406290-04	12/1/2025	12/1/2026
	☐	CLAIMS-MADE ☒ OCCUR					EACH OCCURRENCE \$ 2,000,000
	☐						DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 2,000,000
	☐						MED EXP (Any one person) \$ XXXXXXXX
	☐						PERSONAL & ADV INJURY \$ 2,000,000
	☐						GENERAL AGGREGATE \$ 10,000,000
	☐						PRODUCTS - COMP/OP AGG \$ 4,000,000
GEN'L AGGREGATE LIMIT APPLIES PER:							
	☒	POLICY ☐ PRO- ☐ FECT ☐ LOC					

	B	X	UMBRELLA LIAB		N	AUC 6884521-04	12/1/2025	12/1/2026	EACH OCCURRENCE	\$ 10,000,000
									AGGREGATE	\$ 10,000,000

D	Excess Liability	N	XC3EX00774-251	12/1/2025	12/1/2026	\$15M Excess of \$10M
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DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101. Additional Remarks Schedule, may be attached if more space is required)

22423246
Evidence of Coverage

AUTHORIZED REPRESENTATIVE

ACORD 25 (2016/03)

The ACORD name and logo are registered marks of ACORD

Request for Taxpayer Identification Number and Certification

Go to www.irs.gov/FormW9 for instructions and the latest information.

Give form to the
requester. Do not
send to the IRS.

Before you begin. For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

1 Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.)

Argenbright Master Holding LLC

2 Business name/disregarded entity name, if different from above.

Janco FS3 LLC dba Velociti Services [FEIN of disregarded entity: 87-2133844]

3a Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes.

☐ Individual/sole proprietor ☐ C corporation ☐ S corporation ☒ Partnership ☐ Trust/estate

☐ LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) ☐ Partnership ☐ Trust/estate
Note: Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner.

☐ Other (see instructions)

3b If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions. ☐

5 Address (number, street, and apt. or suite no.). See instructions.

950 East Paces Ferry Road, Suite 2000

6 City, state, and ZIP code

Atlanta, GA 30326

7 List account number(s) here (optional)

Requester's name and address (optional)

Print or type.
See Specific Instructions on page 3.

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any)

Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any)

(Applies to accounts maintained outside the United States.)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Social security number

8 7 - 2 8 6 0 8 8 7

or

Employer identification number

8 7 - 2 8 6 0 8 8 7

Note: If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Part II Certification

Under penalties of perjury, I certify that:

- The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person (defined below); and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends/you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here

Signature of U.S. person

Date

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership instructions for Schedules K-2 and K-3 (Form 1065).

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they